

BUSINESS CONTINUITY PLANNING

MCG Securities, LLC is committed to providing reliable, trustworthy service that represents the best interests of our clients. The firm has developed a business continuity plan to protect our clients and employees in the event of an emergency or significant business disruption. The plan is designed to help prepare for securing employees' safety and firm property, making financial and operational assessments, promptly recovering and resuming operations, protecting the firm's books and records, and allowing our clients access to their funds and securities.

The business continuity plan considers various scenarios that range in severity from a firm- or building-only disruption to an emergency situation on a local or regional level. For example, in the event any MCG Securities, LLC, office locations are inaccessible, alternative locations are established and prepared to facilitate business operations. Regardless of whether the disruption affects our building only or is local or regional, we plan to continue in business and notify you through our web site (www.merioncapitalgroup.com) or our customer emergency number (610 337 6500). If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customers prompt access to their funds and securities.

The firm has redundancies in place for its business-critical systems and believes that it can usually be able to resume business within the same day as the disruption. Our goal in all situations is to return to normal business conditions as soon as possible, with minimal impact on our clients and employees. Even in an extreme situation where continuing business may not be possible or practical, Merion Capital Group, LLC has plans in place that provide clients with access to their funds.

Merion Capital Group, LLC business continuity plan is subject to change at any time without notice. However, notwithstanding the above, Merion Capital Group, LLC does not guarantee that for every disaster or business disruption, such plans will be successfully implemented or that such plans will be sufficient and appropriate to avoid, deter or mitigate the disaster or business disruption.